

Modeling the Thermally Induced Curvature of Multilayer Coatings with COMSOL Multiphysics™



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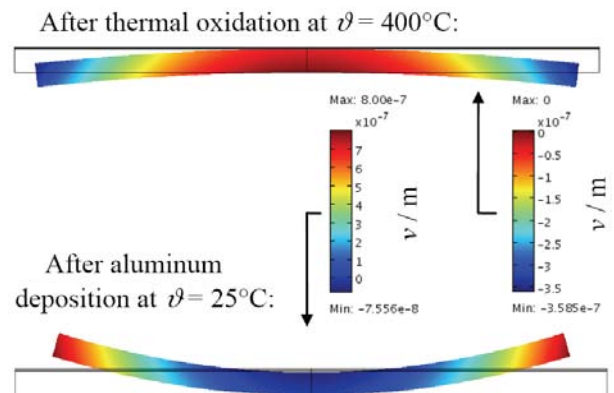
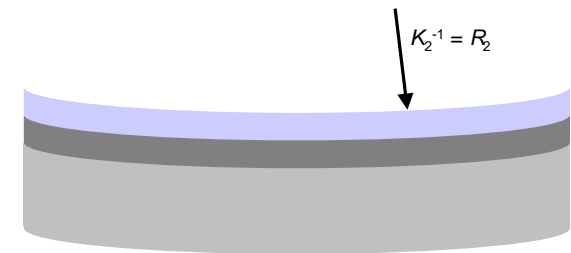
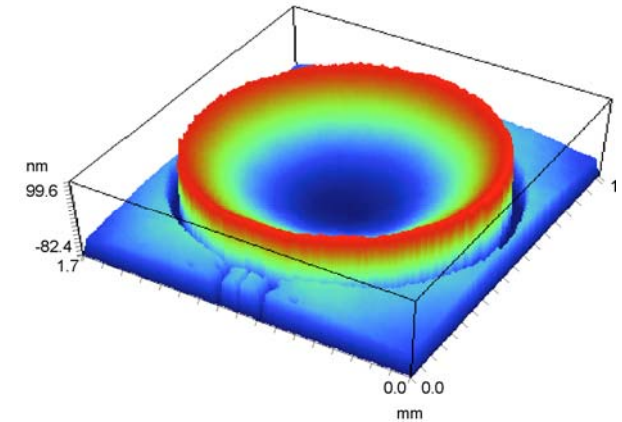
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Outline

- Introduction
- Problem description
- FEA Requirements and Problem Solving in COMSOL
- Implementation of *birth and death* in COMSOL
- Results
- Summary and Outlook



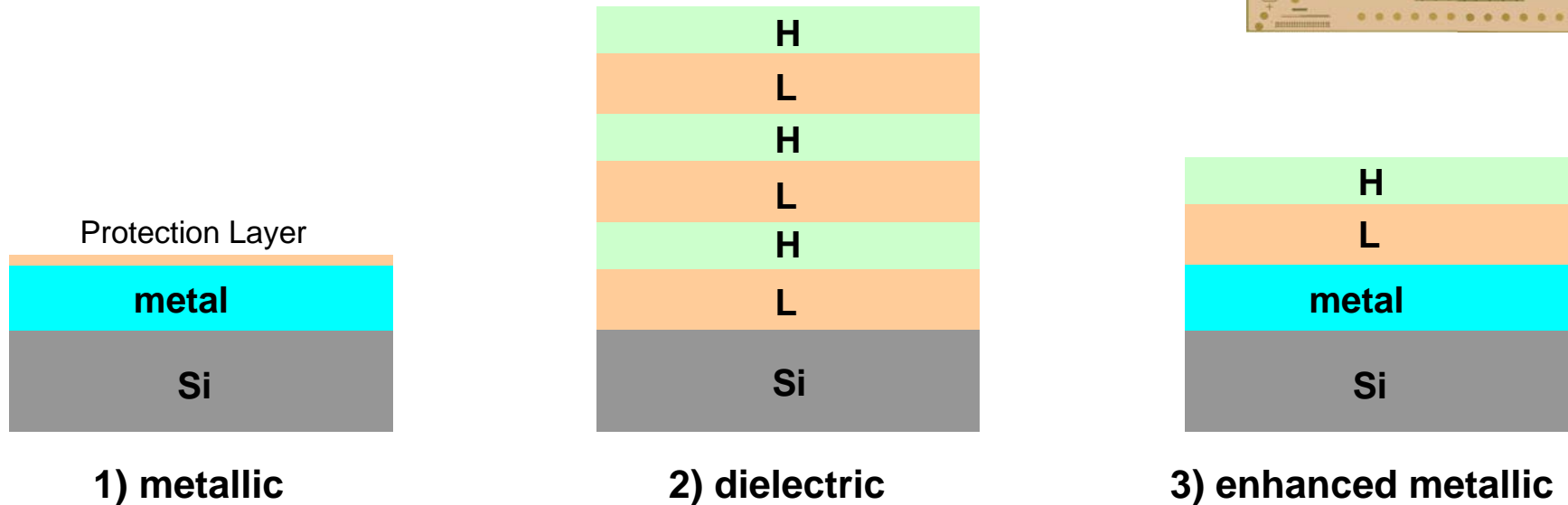
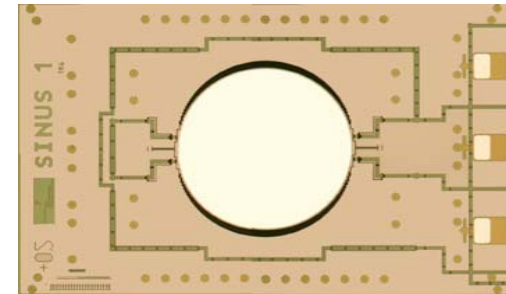
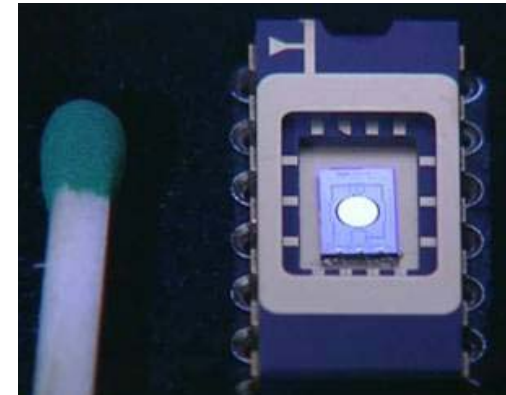
Introduction

Example: Multilayered Coatings for Micro Mirros:

- 1) **Metallic mirrors:** protected Ag, Au
 ⇒ *HR-broadband HR-NIR-reflectors*

- 2) **Dielectric multilayer:** @ 1064nm (Nd-YAG laser)
 ⇒ *high power applications*

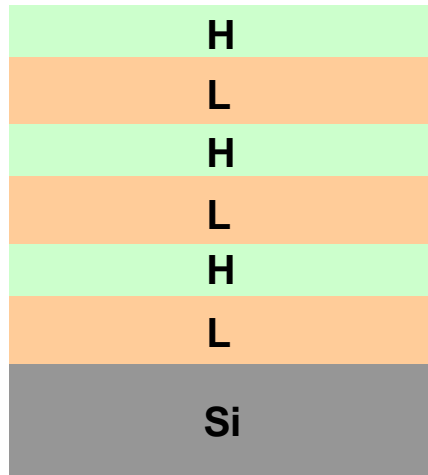
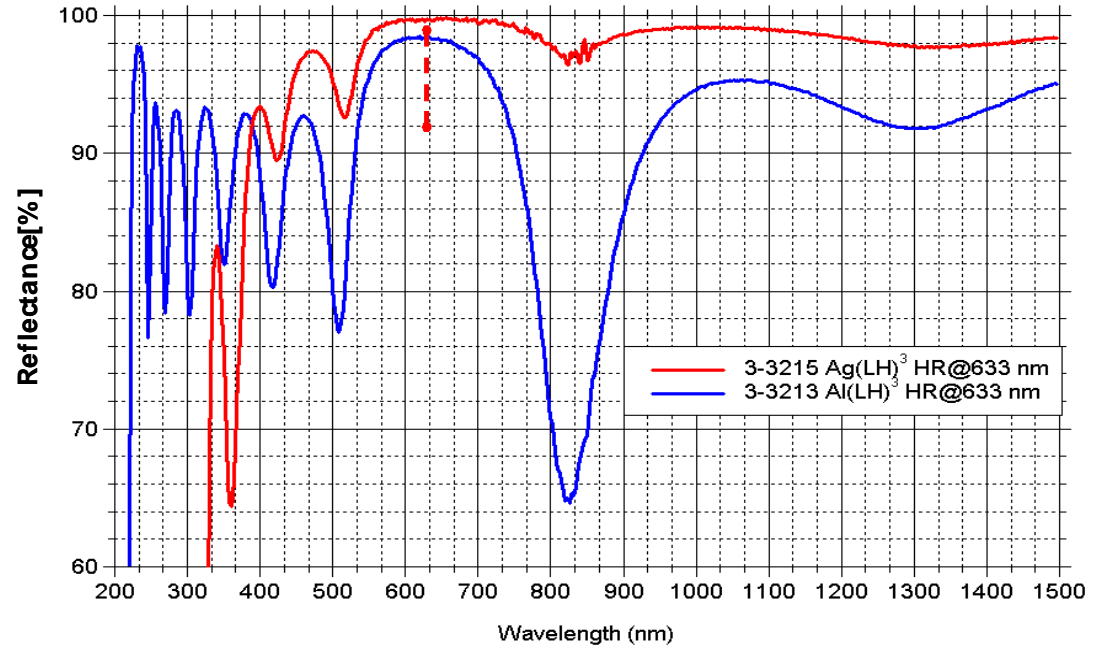
- 3) **Enhanced metallic :** @ Hybrid metallic / *dielectric-Bragg* design
 ⇒ *high power applications for NIR / VIS / UV*



Introduction

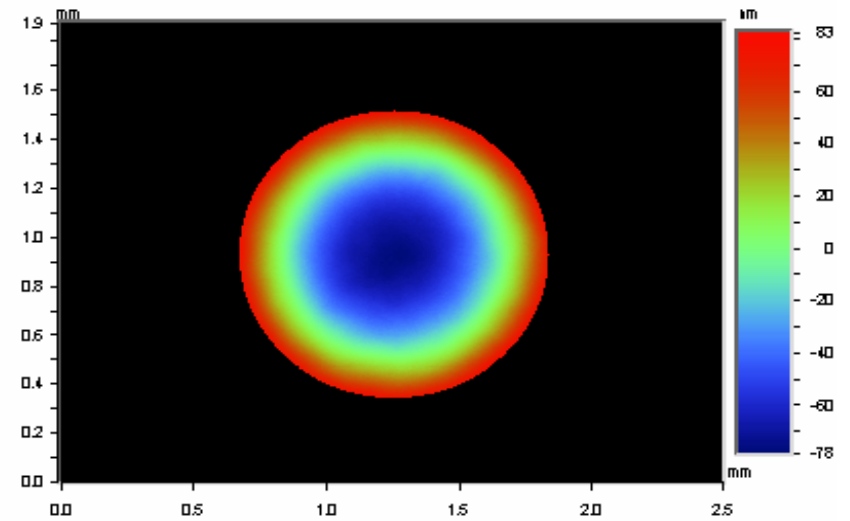
Design: **Al/(SiO₂/HfO₂)³**
 R @ 633 nm: 98.5 %
 Total thickness: 620 nm

Design: **Ag/(SiO₂/HfO₂)³**
 R @ 633 nm: 99.7 %
 Total thickness: 640 nm



2) dielectric

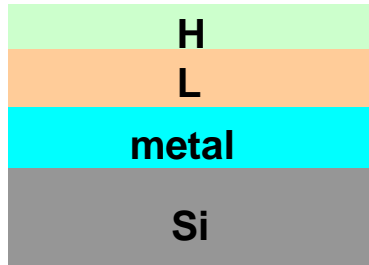
UV-HR Design:
Al/(SiO₂/HfO₂)³
 $R_{curv} = 1,12m$



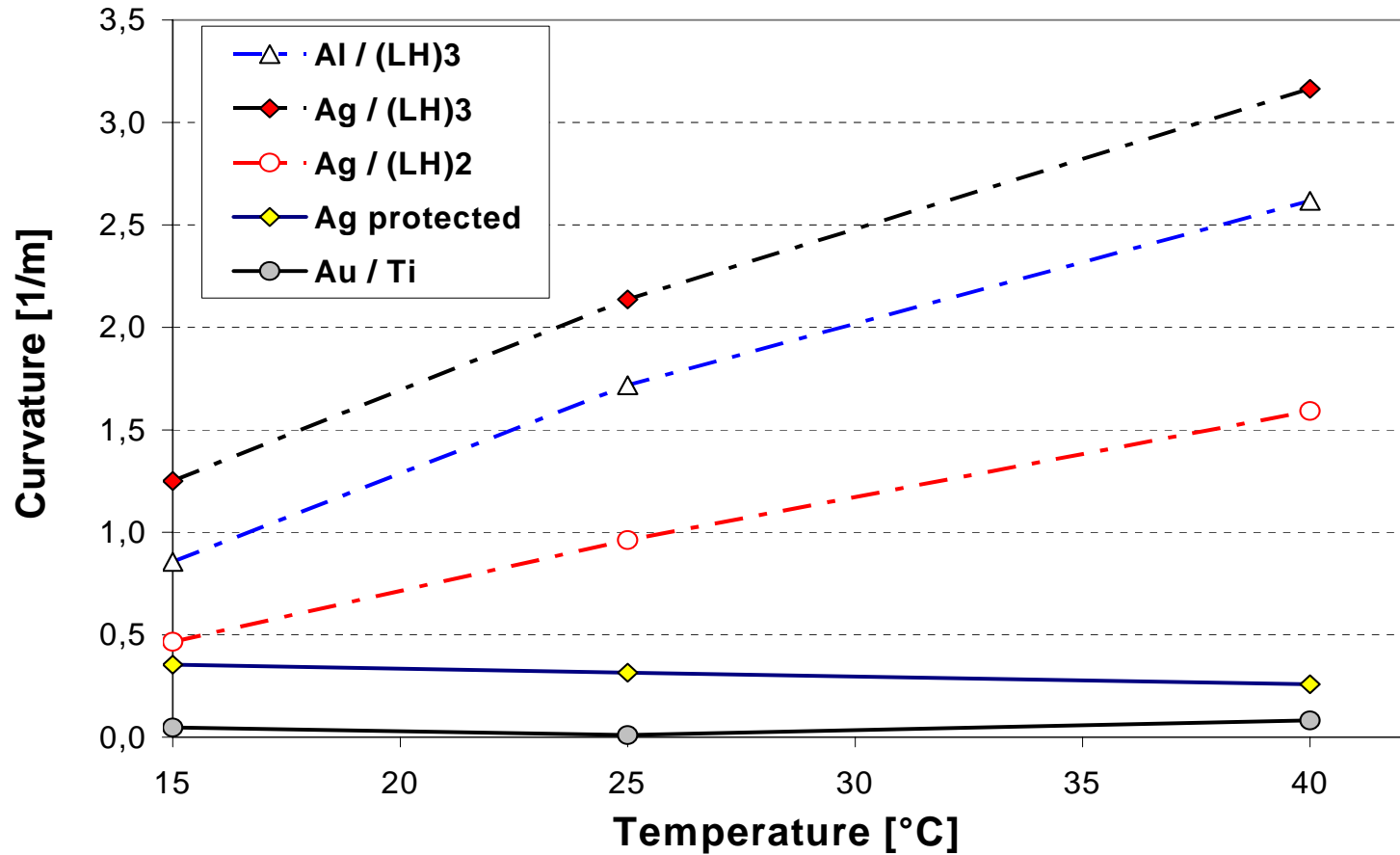
Introduction

Temperature dependence of mirror planarity

Asymmetric design



e.g. hybrid NIR-HR



A well understanding of curvature generation is essential for mirror flatness

Introduction

Calculating the thermally induced curvature of multilayer plates:

- ▶ analytical:

two layer case:	Timoschenko	(1925)
multilayer case:	Vasudevan, Johnson	(1962)

ON MULTI-METAL THERMOSTATS

by M. VASUDEVAN and W. JOHNSON

Mechanical Engineering Department, Manchester College of Science and Technology
Manchester, England.

Summary

An elastic analysis of bi-metal thermostats has been made by Timoshenko¹). The effect of having more than two metals, on the performance characteristics of a thermostat of the same total thickness as the bi-metal one, has not received attention. The performance characteristics of a thermostat such as temperature of cut-off, the temperature of cutting-in and sensitivity depend upon the radius of curvature to which a composite strip

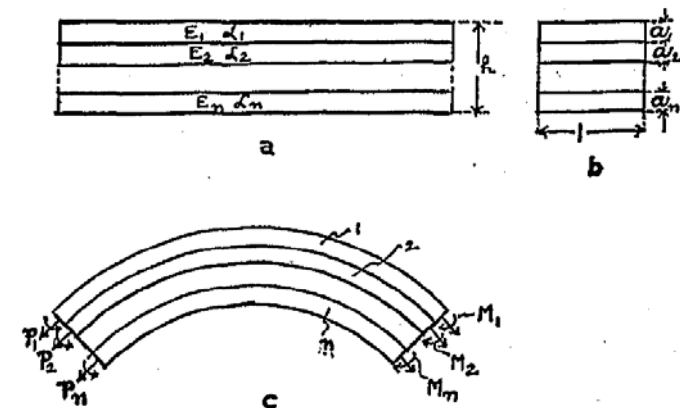
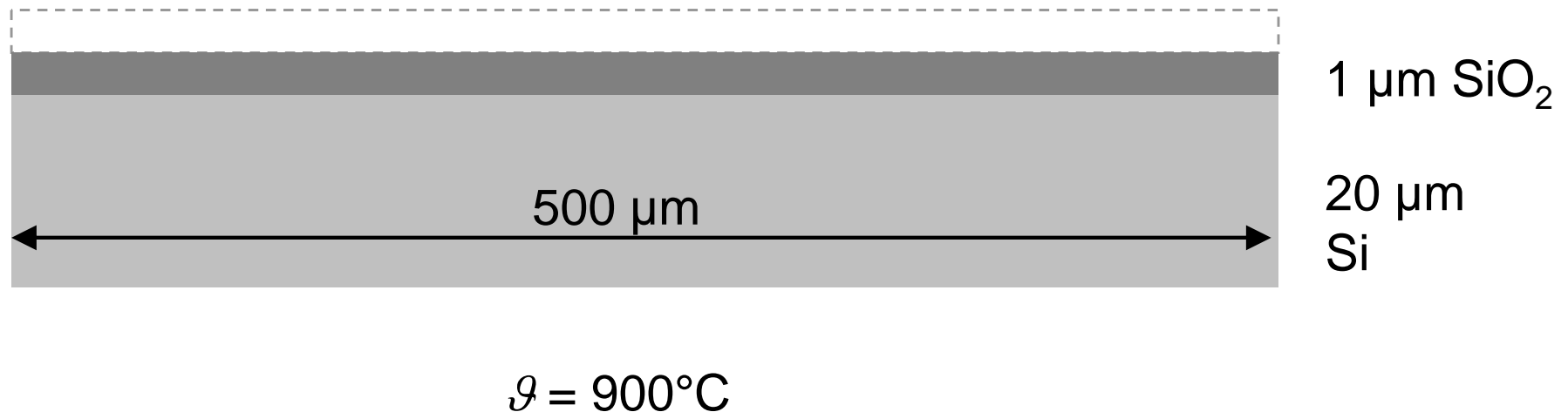


Fig. 1. Multi-metal strip under uniform heating.

- ▶ spherical curvature: small deflections $\max(w) < h$

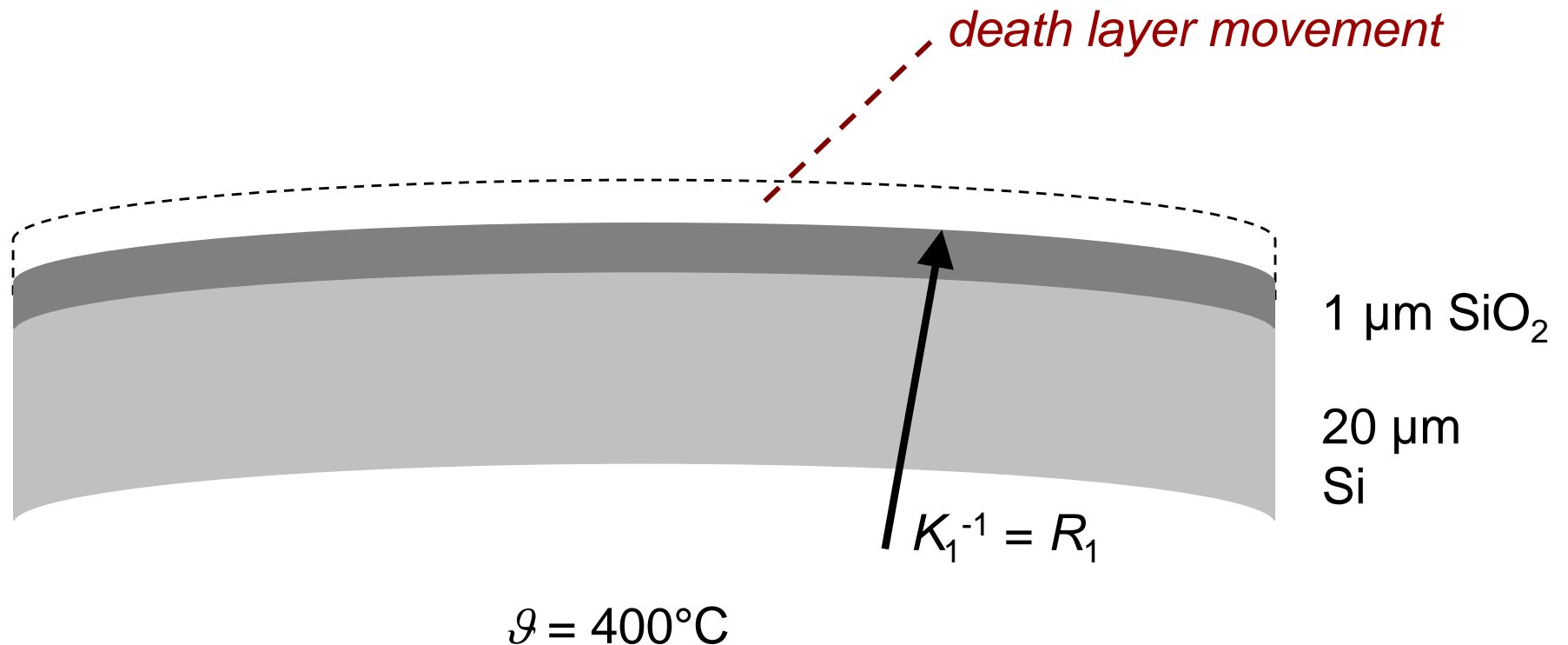
■ Problem description

- **1. Step:** Heating the silicon plate to oxidation temperature and thermal grow of SiO_2 :



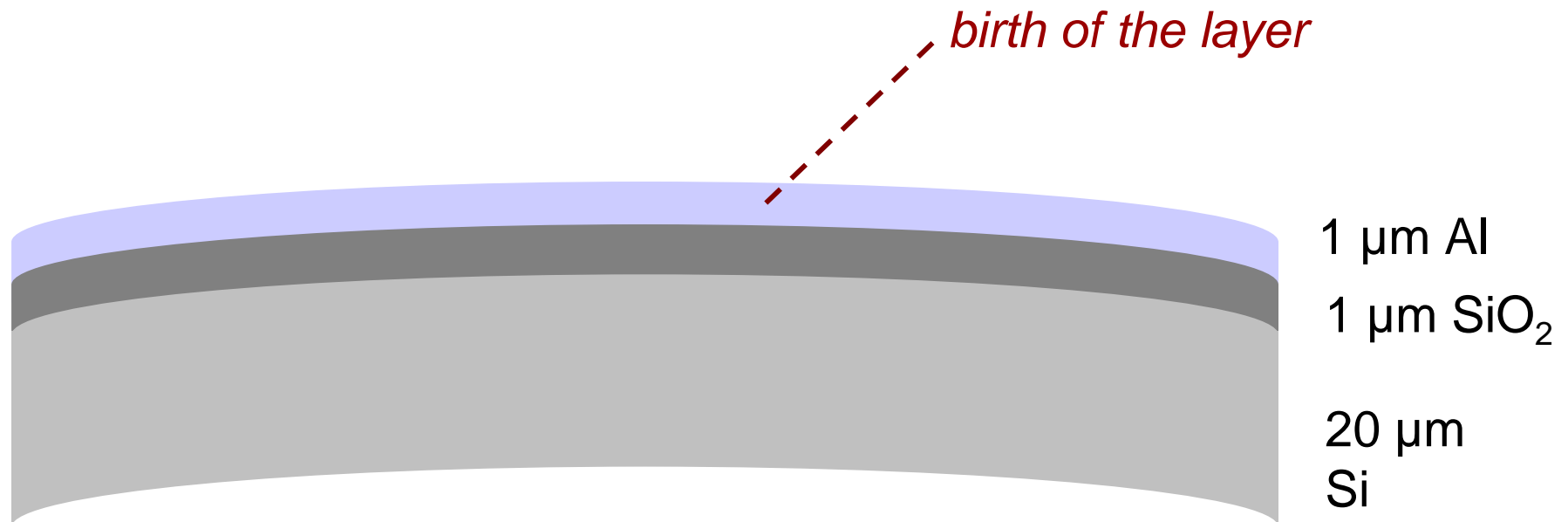
Problem description

- 2. Step: Cooling down the stack:



Problem description

- 3. Step: Evaporation of Al on bended substrate:

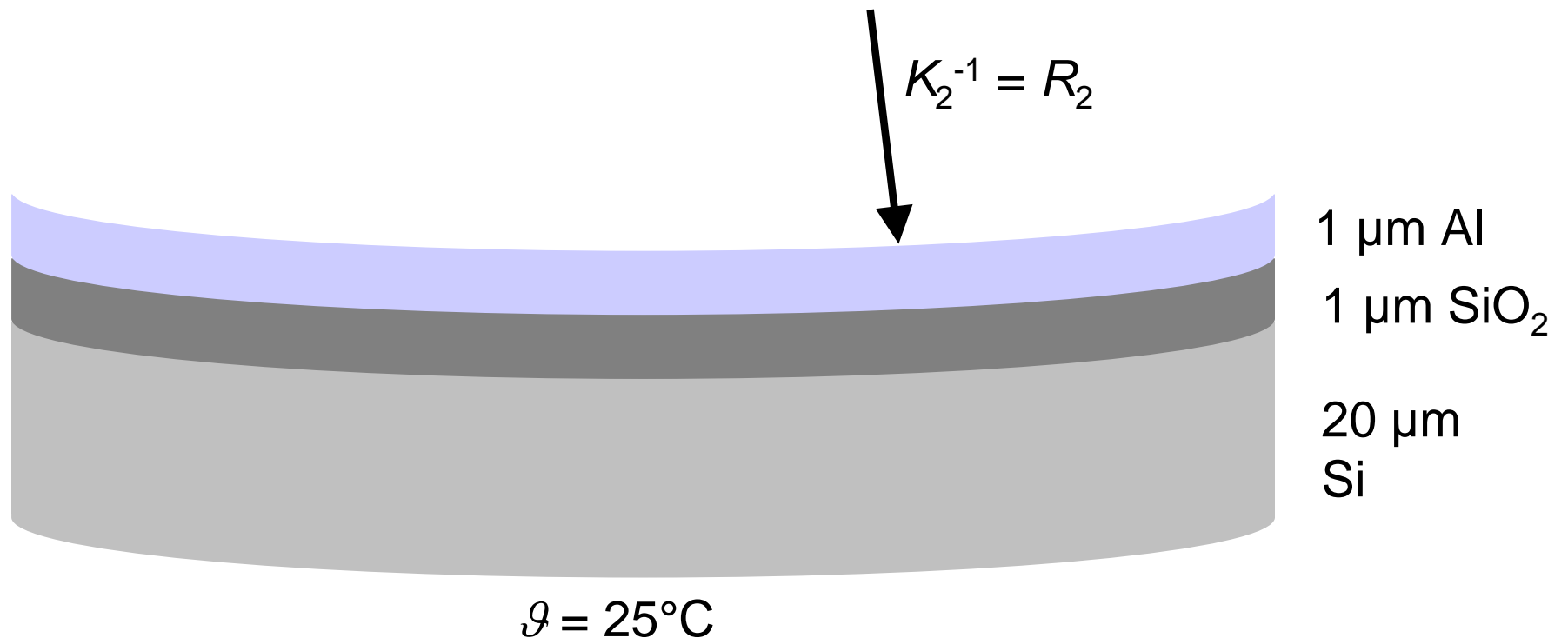


$\vartheta = 400^\circ\text{C}$



■ Problem description

- 4. Step: Cooling down to room temperature:



FEA Requirements and Problem Solving in COMSOL

Layer deposition on deformed surfaces:

▶ *Birth and Death* is required:

▶ *Death*:

low YOUNG's Modulus
(e.g. 10^{-12} Pa)

not: active in this domain

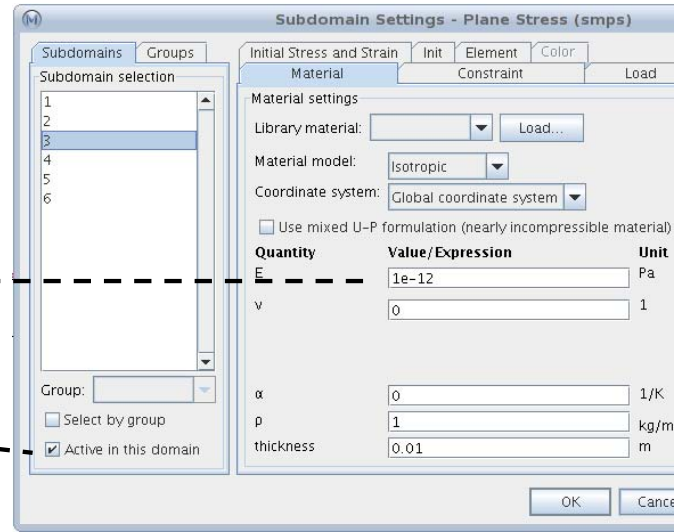
▶ *Birth*:

reset the YOUNG's Modulus

Multiple simulation steps:

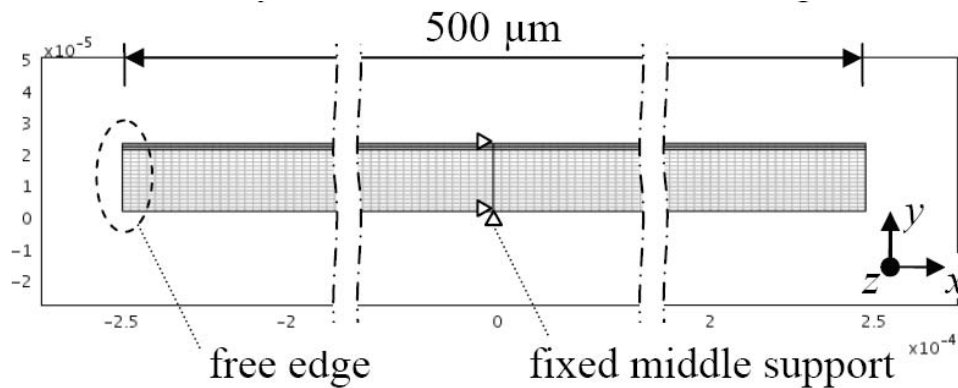
▶ multiple application modes

▶ stepwise solving (requires script for better handling)



Implementation of *birth and death* in COMSOL

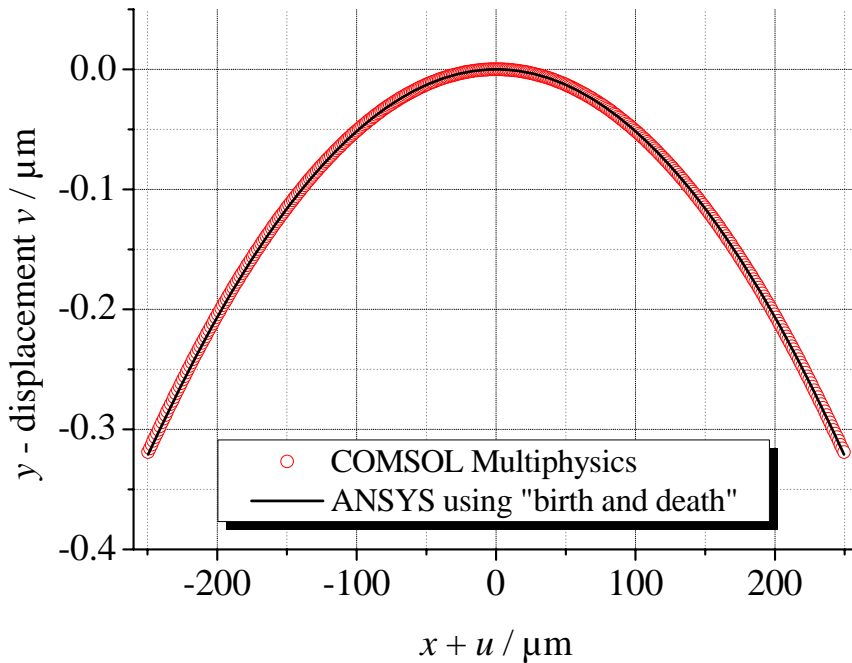
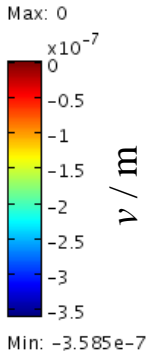
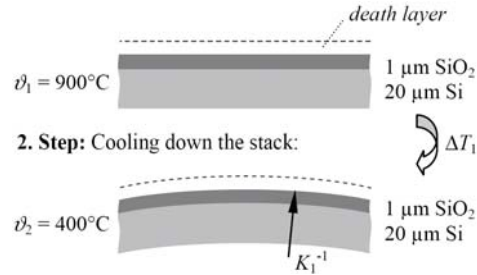
- 2 x Plane Stress application modes
 - ▶ dealing with the same geometry and bc



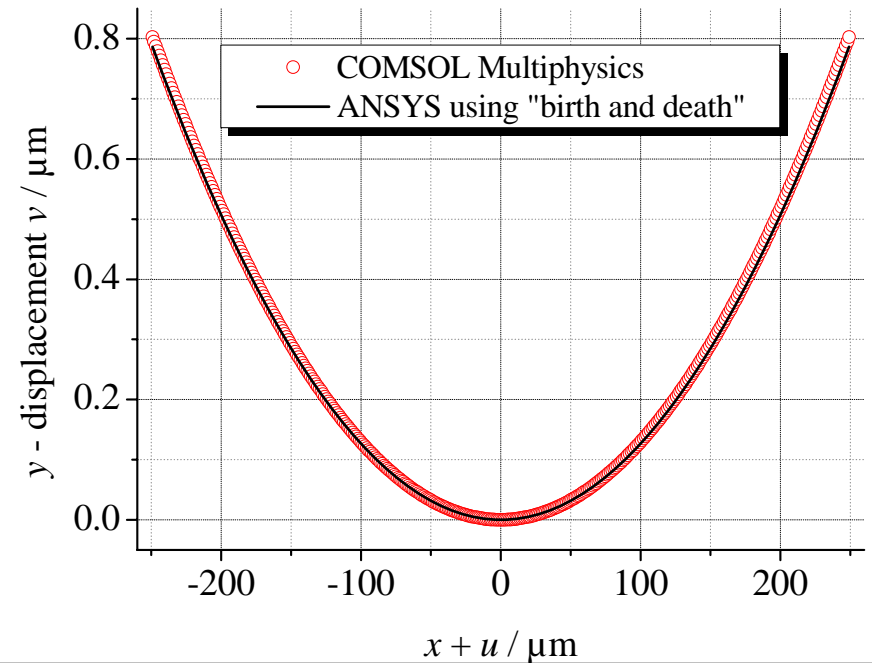
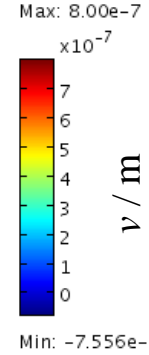
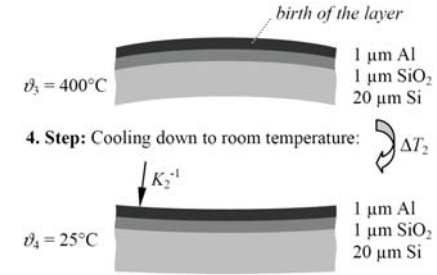
- Birth and Death
 - ▶ superposition of non thermal stress is possible
- Strain / stress coupling
 - ▶ superposition of non thermal stress is possible

parameter	application modes			sub-domain
	smgs	smgs2	...	
Material
E / Pa	10^{-12} Pa	10^{-12} Pa
$Temp$	$Tempref$	$Tempref$
Material	-	Al	...	3
E / Pa	10^{-12}	$70 \cdot 10^9$...	
σ_{xi} / Pa	-	$-ex_smgs \cdot 70e9$...	
σ_{yi} / Pa	-	$-ey_smgs \cdot 70e9$...	
σ_{zi} / Pa	-	$-ez_smgs \cdot 70e9$...	
$Temp$	400 °C	25 °C	...	2
$Tempref$	400 °C	400 °C	...	
Material	SiO₂	SiO₂	...	
$Temp$	400 °C	25 °C	...	1
$Tempref$	900 °C	900 °C	...	
Material	Si	Si	...	
$Temp$	400 °C	25 °C	...	1
$Tempref$	900 °C	900 °C	...	

After thermal oxidation at $\vartheta = 400^\circ\text{C}$:



After aluminium deposition at $\vartheta = 25^\circ\text{C}$:



Results

- Comparison with the reference FEA:

Method	curvature K / m^{-1}		relative deviation / %	
	layer 2	layer 3	layer 2	layer 3
<i>COMSOL with "birth and death":</i>				
linear	-10.23	25.78	-0.9	1.7
large deformation	-10.25	25.87	-0.7	2.1
<i>COMSOL without "birth and death":</i>				
linear	-	15.32	-	-39.6
large deformation	-	15.37	-	-39.4
<i>ANSYS with "birth and death":</i>				
large deformation	-10.32	25.34	0.0	0.0
<i>ANSYS without "birth and death":</i>				
large deformation	-	14.95	-	-41.0

- more accuracy with *birth and death*

Summary and Outlook

- *Birth and Death* is possible within COMSOL
- Main idea for *birth and death*:
 - ▶ Changing the YOUNG's Modulus
 - ▶ multiple application mode or stepwise solving
 - ▶ stress / strain coupling
- *Birth and Death* is useful for:
 - ▶ Simulation of multilayered coatings
 - ▶ Simulation of sacrificial layers
 - ▶ ... Stress engineering in microsystem technology
- Further wants:
 - ▶ multilayered shells or solid-shells

Thank You!

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